## Rec'd PCT/PTC 14 NOV 2005

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DECLARATION FOR UTILITY OR Attorney I First Nam
PATENT APPLICATION

Page 1 of 2

Attorney Docket Number 213222.00095

First Named Inventor ANTHONY GERKIS, ET AL

COMPLETE IF KNOWN

Declaration Submitted with Initial Filing Declaration
Submitted after Initial
Filing (surcharge
(37 C.F.R. § 1.16(e))

nitial e b(e))

Application Number 10/520,705

Filing Date January 10, 2005

Art Unit Unassigned

Examiner Name Unassigned

AS THE BELOW NAMED INVENTOR, I HEREBY DECLARE THAT!

(37 C.F.R. § 1.63)

My residence, mailing address, and citizenship are as stated below next to my name.

required)

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### SYSTEM, APPARATUS AND METHOD FOR UPLINK RESOURCE ALLOCATION

	×	was filed on (MM/DD/YYYY)	01/10/2005	as United States Applicatio
١		or		•
		is attached hereto		

as United States Application Number or PCT International

Application Number

10/520,705

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

### PRIORITY INFORMATION:

I hereby claim domestic priority benefits under 35 U.S.C. § 119(e) of any provisional application listed below.

I hereby claim domestic priority benefits under 35 U.S.C. § 120 of any United States application, or § 365(c) of any PCT international application designating the United States listed below.

I hereby claim foreign priority benefits under 35 U.S.C. §§ 119(a)-(d) or (f), or 365(b) of any foreign application for patent, inventor's or plant breeder's rights certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Domestic or Foreign Application Number(s)	Country	Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? (for FOREIGN only)	
			<u> </u>	YES	NO
2,392,574	CA	07/08/2002	×	×	×
PCT/CA2003/00999		07/08/2003	×	×	X

							Page 2 of 2
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CORRESPONDENCE ADDRESS:							
Direct all correspondence	e to:	Customer Number or Bar Code Label	27160	or		Correspondence	address below
Name							<del></del>
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NAME OF SOLE OR FIRST INVENTOR:			☐ A petiti	☐ A petition has been filed for this unsigned inventor			
Given Name (first and middle): Anthony			Family Na Surname:	Family Name or GRATE			
Inventor's Signature:			Date:	Date: 1/6/05			
Residence: 16 Callowhill Avenue							
City Richmond	Hill State	NC Ontario	Country	Canada	Citize	nship Ca	anadian
Malling Address:							
Street 312 Adelaide Street West, Suite 600							
City Toronto	State Or	ntario	Zlp	M5V 1R2	Count	ry Canada	3
NAME OF SECOND I	A petition has been filed for this unsigned inventor						
Given Name (first and middle); Al1			Family Name or ARAD Surname:				
Inventor's Signature:			Date:				
Residence:	7	NC		<u> </u>	***		
City Toronto	State	Ontario	Country	Canada	Citize	nship Ca	nadian
Malling Address:							
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Page 2 of 2

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DECLARATION - UTILITY OR DESIGN PATENT APPLICATION							
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are believed to be true:	and further th fine or impriso	at these statements were nnment, or both, under 18	made with the	ie knowledge tha	t willful false :	e on information and belief statements and the like so ements may jeopardize the	
NAME OF SOLE OR F	IRST INVEN	ITOR:	🗷 A petiti	on has been filed	for this unsign	ned inventor	
Given Name (first and mi	ddle): <b>Anth</b>	ony	Family Nar Surname:	me or (	SERKIS		
Inventor's Signature:			Date:	Date:			
Residence: 16 Callo	whill Avenue	)					
City Richmond H	III State	Ontario	Country	Canada	Citizenship	Canadian	
Mailing Address:				-			
Street 312 Adelaide	e Street We	est, Suite 600					
City Toronto	State On	tario	Zip	M5V 1R2	Country	Canada	
NAME OF SECOND IN	NVENTOR:		<b>☒</b> A petiti	A petition has been filed for this unsigned inventor			
Given Name (first and mi	ddle): Ali		Family Nar Surname:	Family Name or Surname: ARAD			
Inventor's Signature: I Manual Ali Ared				Date: 11 /08 / 2005			
Residence: 111 Silver	Stream Ave	nue					
City Richmond Hill	State	Ontario	Country	Canada	Citizenship	Canadian	
Mailing Address:							
Street 312 Adelaide	Street 312 Adelaide Street West, Suite 600						
City Toronto	State On	tario	Zip	M5V 1R2	Country	Canada	

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# Rec'd PCT/PTO 14 NOV 2005

10/520705

		Application Number		10/520,705		
}		Filing Date		January 10, 2005		
POWER OF ATTORNEY OR AUTHORIZATION OF AGENT BY ASSIGNEE OF RECORD			First Named Inventor		ANTHONY GERKIS, ET AL.	
			Title	-	SYSTEM, APPARATUS AND METHOD FOR UPLINK RESOURCE ALLOCATION	3
			Group Art Unit		NYA	
			Examiner Name		NYA	
			Attorney Docket Number		213222.00095	
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SIGNATURE OF ASSIGNEE OF RECORD						
Name R. Douglas Kn		leepone 				
Signature						
Date		November 10,	2005			
NOTE: Sig	NOTE: Signatures of ALL of the assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. If submitting multiple forms, complete the following:					
☑ A tota						
A total of 1 Power of Attorney or Authorization of Agent forms are being submitted.						

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# Rec'd PCT/PTO 14 NOV 2005 10/520705

AND SECTION OF THE PROPERTY OF			
Applicant/Patent	Owner: ANTHO	NY GERKIS, ET AL.	
Application No./P	Patent No. 10/520,705	Filed/Issue Date:	January 10, 2005
Entitled: SY	STEM, APPARATUS AND METHOD FO	R UPLINK RESOURC	E ALLOCATION
	works, Inc. , a	California corporati	
(Name of Assignee)		corporation, partnership, universit	
STATE THAT IT			
	assignee of the entire right, title, and interest;	or	
1. <b>E</b> the a	issignee of the entire right, the, and intorest,	OI .	
	ssignee of less than the entire right, title and i est is% (by percentage)	interest. The extent of its	s ownership
in the patent app	lication/patent identified above by virtue of eit	her:	
assi	essignment from the inventor(s) of the patent a gnment was recorded in the U.S. Patent and I, Frame, or for which a co	Trademark Office at	ed above. The
OR			
B.   A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:			ed above, to the
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	The document was recorded in the U. S. F Reel, Frame, or for wh		
2.		To:	
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3.	From:	To:	
	The document was recorded in the U. S. F Reel, Frame, or for wh	Patent and Trademark Of ich a copy thereof is atta	ffice at sched.
	Additional documents in the chain of title a	are listed on a supplemen	ntal sheet.
Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to the Assignment Division in accordance with 37 C.F.R. Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]			
The undersigned	(whose title is supplied below) is authorized t	o act on behalf of the as	5,0
Date: Novemb	per 10, 2005 Typed or printed name:	R. Douglas Kneebo	one
	Signature:	KILL	
	Title:	General Counsel	

### Recid PCT/PTO 14 NOV 2005 10/520705

#### ASSIGNMENT

BETWEEN

Gerkis, Anthony Arad, Ali and SOMA Networks, Inc.

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4	DEFINITIONS	
1.	DELIMI I IOMO	

a)	"Assignors	" mean:
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- i) Gerkis, Anthony, an individual having a postal address of Suite 700, 312 Adelaide St. West, Toronto, Ontario, Canada, M5V 1R2; and
- ii) Arad, Ali, an individual having a postal address of Suite 700, 312 Adelaide St. West, Toronto, Ontario, Canada, M5V 1R2.
- b) "Assignee" means SOMA Networks, Inc. a California corporation having a place of business at Suite 2000, Wharfside Bldg. China Basin Landing, 185 Berry Street, San Francisco, California, 94107 United States of America, including its successors and assigns.
- c) "Invention" means an invention made by the Assignors and entitled, "SYSTEM, APPARATUS AND METHOD FOR UPLINK RESOURCE ALLOCATION".
- d) "Rights to the Invention" means, without limitation, all rights, titles, interests and claims of any nature in and to:
  - the Invention for Canada, the United States of America and all other foreign jurisdictions;
  - ii) all Patent Applications for the Invention for Canada, the United States of America and all other foreign jurisdictions ("Patent Applications");
  - iii) all Letters Patent that may be obtained for the Patent Applications ("Issued Patents");
  - iv) all continuations, continuations-in-part, re-examinations, reissues, requests for continued examination (RCE) and divisions of the Patent Applications and/or Issued Patents; and,
  - v) the right to claim priority under International Convention and/or Treaties for the Patent Applications and/or Issued Patents.

### 2. BACKGROUND

- a) The Assignee wishes to acquire the Rights to the Invention from the Assignors.
- b) Each of the parties agrees to be bound by the provisions of this Assignment.
- c) This Assignment replaces and supersedes any prior Assignments, whether oral or in writing, made between the parties, or any of them.

### 3. THE INVENTION

- a) In addition to other good and valuable consideration, Assignee paid in hand the sum of one dollar (\$1.00) to Assignors for the Rights to the Invention.
- Assignors hereby acknowledge the receipt and sufficiency of the consideration received from the Assignee.
- Assignors hereby sell, assign and transfer to the Assignee, its successors and assigns, the Assignors'
   Rights to the Invention.
- d) Assignors hereby authorize and request the Commissioner of Patents for Canada to issue Canadian Letters Patent for the Invention to the Assignee, for Assignee's sole use and benefit, to the full end of the term for which Canadian Letters Patent may be granted, as fully and entirely as the same would have been held by the Assignors had this Assignment not been made.
- e) Assignors hereby authorize and request the Commissioner of Patents (or the equivalent) for such other jurisdictions where Assignee files applications for the invention, to issue Letters Patent in that jurisdiction for the Invention to the Assignee, for the Assignee's sole use and benefit, to the full end of the term for which said Letters Patent may be granted, as fully and entirely as the same would have been held by the Assignors had this Assignment not been made.
- f) Assignors hereby undertake without liability at any time upon request to do everything legally possible to assist the Assignee in the filing and prosecution of any patent application relating to the Invention in any jurisdiction, to sign such documents and do such things as may be required to give effective legal and registered title of the Assignee to the Invention in any jurisdiction, all without further consideration but at the expense of the Assignee.

### 4. APPLICABLE LAW AND INTERPRETATION

- This Assignment is governed and is to be construed and enforced in accordance with the internal laws
  of the Province of Ontario.
- b) The invalidity or unenforceability of any provision of this Assignment will not affect the validity or enforceability of any other provision and the Assignment will be construed as if such invalid provision were omitted.
- c) The parties agree that there are no representations, warranties, collateral Assignments or conditions affecting this Assignment other than as expressed in this Assignment.
- d) The provisions of this Assignment are for the benefit of and are binding on the parties and their respective heirs, executors, administrators and assigns.

**STATEMENT BY WITNESS**Signed in the presence of:

Eventt Long

Having a Full Postal Address of: Suite 700, 312 Adelaide St. West, Toronto, Ontario, Canada, M5V 1R2

I hereby declare that I was personally present and did see:

GERKIS, ANTHONY

who is personally known to me to be the person named in the assignment herein, duly sign and execute the same.

Witness Signature <u>Gue</u>

SIGNATURE OF GERKIS, ANTHONY

GERKIS, ANTHONY

Date of Execution

STATEMENT BY WITNESS Signed in the presence of:  Everitt Long  Having a Full Postal Address of: Suite 700, 312 Adelaide St. West, Toronto, Ontario, Canada, M5VR2  I hereby declare that I was personally present and did see:  Arad, Ali who is personally known to me to be the person named in the assignment herein, duly sign and execute the same.  Witness Signature	SIGNATURE OF Arad, Ali  Arad, Ali  Jan. 9, 2003  Date of Execution
STATEMENT BY WITNESS  Signed in the presence of:  Everitt Long	SIGNATURE ON BEHALF OF SOMA Networks, Inc.
Having a Full Postal Address of: Suite 700, 312 Adelaide St. West, Toronto, Ontario, Canada, M5V	Taylor, Roland, Counsel I have authority to bind SOMA Networks, Inc.
I hereby declare that I was personally present and did see: TAYLOR, ROLAND who is personally known to me to be the person named in the assignment herein, duly sign and execute the same.	B JULY 2002  Date of Execution

Witness Signature